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I hereby certify that this correspondence and the enclosures noted herein (10 total pages, including cover sheet and references) are being transmitted via facsimile transmission to the USPTO Art Unit 2131 Examiner, Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 at 703-872-9306 on June 16, 2004.

Judith L. Volk
Judith L. Volk

June 16, 2004
Date

PATENT
ELYN/10
Confirmation No. 4146

Applicant : James D. Gersten et al.
Serial No. : 10/802,165
Filed : March 17, 2004
For : SECURE ELECTRONIC MESSAGE SYSTEM

Art Unit: 2131
Examiner: Unknown

June 16, 2004

Mail Stop AMENDMENT
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

In accordance with the duty of candor and good faith imposed by 37 CFR §1.56 and means of complying therewith according to 37 CFR §§1.97 and 1.98, the references listed on the attached forms PTO-1449 are called to the attention of the U.S. Patent and Trademark Office in connection with the above-identified application. Copies of the two downloaded references (each 2 pages) listed under the heading "Other Documents" are provided, while copies of the three U.S. Patent Publications listed thereunder are not. If needed, Applicants will provide copies immediately upon the Examiner's request.

No representation is made that any of the references are "prior art" within the meaning of 35 U.S.C. § 102 or § 103. Moreover, any explanations herein are not to be taken as a representation that the references have been thoroughly reviewed. In

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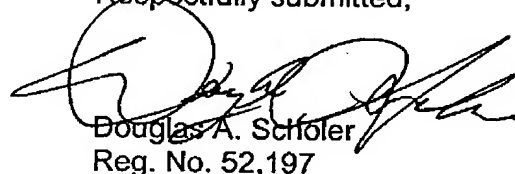
Serial No. 10/802,165
Information Disclosure Statement
Date: June 16, 2004
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particular, no representation as to the relative relevance of any portion of a reference is intended.

The Examiner is respectfully requested to review each reference cited and to note the review on the attached PTO-1449 forms (3 pages) and to reach their own independent determination of its materiality, if any.

This Information Disclosure Statement is being filed within three months of the filing date of the application, and therefore, no fees are believed to be due. However, if any charges or credits are necessary to complete this communication, please apply them to Deposit Account 23-3000.

Respectfully submitted,



Douglas A. Scholer
Reg. No. 52,197

Wood, Herron & Evans, L.L.P.
2700 Carew Tower
441 Vine Street
Cincinnati, Ohio 45202
Voice: (513) 241-2324
Facsimile: (513) 241-6234
K:\ELYN\10\IDS Cover Letter.wpd

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Sheet 1 (A) of 3

SUBSTITUTE FORM PTO-1449 (MODIFIED)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. ELYN/10		SERIAL NO. 10/802,165							
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICANT James D. Gersten, et al.		Confirmation No. 4146							
(37 CFR 1.98(b))				FILING DATE March 17, 2004		GROUP 2131							
U.S. PATENT DOCUMENTS													
EXAMINER INITIAL		PATENT NUMBER							ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	A.A	4	9	2	4	5	1	4	5/8/1990	Matyas et al.	380	24	8/24/1989
	A.B	5	6	7	7	9	5	5	10/14/1997	Doggett et al.	380	24	4/7/1995
	A.C	5	7	9	0	7	9	0	8/4/1998	Smith et al.	395	200.36	10/24/1996
	A.D	5	8	7	0	7	2	1	2/9/1999	Norris	705	38	10/15/1996
	A.E	5	8	7	8	4	0	5	3/2/1999	Grant et al.	705	39	9/25/1996
	A.F	5	9	4	0	8	1	1	8/17/1999	Norris	705	38	10/15/1996
	A.G	6	0	2	1	2	0	2	2/1/2000	Anderson et al.	380	25	12/19/1997
	A.H	6	0	4	4	3	4	9	3/28/2000	Tolopka et al.	705	1	6/19/1998
	A.I	6	0	5	5	5	1	4	4/25/2000	Wren	705	27	6/21/1996
	A.J	6	0	6	1	4	4	8	5/9/2000	Smith et al.	380	21	4/1/1997
	A.K	6	0	6	4	7	5	1	5/16/2000	Smithies et al.	383	115	7/8/1998
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS													
		DOCUMENT NUMBER							PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)
	A.L												
	A.M												
	A.N												
	A.O												
	A.P												
	A.Q												
OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)													
	A.R	Tumbleweed Communications, <u>Tumbleweed Secure Email for Customer Partner Communications</u> , http://www.tumbleweed.com/en/industries/financial_services/secure_email_services.html ; printed 2/10/2004, (2 pages).											
	A.S	Tumbleweed Communications, <u>Secure File Transfer for Payments and Messaging</u> , http://www.tumbleweed.com/en/industries/financial_services/sft_financial_services.html ; printed 2/10/2004, (2 pages).											
	A.T	PETROGIANNIS, Tommy, <u>Status Identifier for Identifying the Approval Status of an Electronic Document</u> , U.S. Patent Application Publication No. US 2002/0019838 A1, Published February 14, 2002, Application Serial No. 09/899,731, filed July 5, 2001.											
EXAMINER										DATE CONSIDERED			
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT				APPLICANT James D. Gersten, et al.		Confirmation No. 4146							
(37 CFR 1.98(b)) (Use several sheets if necessary)				FILING DATE March 17, 2004		GROUP 2131							
U.S. PATENT DOCUMENTS													
EXAMINER INITIAL		PATENT NUMBER			ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE				
	B.A	6	0	9	1	8	3	5	7/18/2000	Smithies et al.	382	115	2/17/1998
	B.B	6	1	0	5	0	0	7	8/15/2000	Norris	705	38	5/5/1999
	B.C	6	1	1	9	1	3	7	9/12/2000	Smith et al.	707	523	1/30/1997
	B.D	6	1	5	1	6	7	5	11/21/2000	Smith	713	153	7/23/1998
	B.E	6	1	8	5	6	8	3	2/6/2001	Ginter et al.	713	176	12/28/1998
	B.F	6	1	9	2	4	0	7	2/20/2001	Smith et al.	709	229	4/4/1997
	B.G	6	2	0	9	0	9	1	3/27/2001	Sudia et al.	713	175	9/29/1998
	B.H	6	2	0	9	0	9	5	3/27/2001	Anderson et al.	713	176	8/31/1999
	B.I	6	3	4	7	3	0	7	2/12/2002	Sandhu et al.	705	35	6/13/2000
	B.J	6	3	8	5	6	5	5	5/7/2002	Smith et al.	709	232	10/2/1997
	B.K	6	4	8	7	5	9	9	11/28/2002	Smith et al.	709	229	7/14/1999
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS													
		DOCUMENT NUMBER			PUBLICATION DATE		COUNTRY OR PATENT OFFICE		CLASS	SUBCLASS	TRANSLATION (YES/NO)		
	B.L												
	B.M												
	B.N												
	B.O												
	B.P												
	B.Q												
OTHER DOCUMENTS (Including Author, Title, Date, Place of Publication)													
	B.R	PETROGIANNIS ET AL., <u>Method and System for the Approval of an Electronic Document over a Network</u> , U.S. Patent Application Publication No. US 2002/0078159 A1, Published June 20, 2002, Application Serial No. 10/022,005, filed December 14, 2001.											
	B.S	PETROGIANNIS ET AL., <u>Web-Based Method and System for Applying a Legally Enforceable Signature on an Electronic Document</u> , U.S. Patent Application Publication No. US 2002/0091651 A1, Published July 11, 2002, Application Serial No. 10/022,559, filed December 14, 2001.											
	B.T												
EXAMINER							DATE CONSIDERED						
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(37 CFR 1.98(b))				FILING DATE March 17, 2004		GROUP 2131							
U.S. PATENT DOCUMENTS													
EXAMINER INITIAL		PATENT NUMBER						ISSUE DATE	PATENTEE	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	C.A	6	6	0	9	2	0	0	8/19/2003	Anderson et al.	713	175	12/28/2000
	C.B	6	7	1	1	5	5	4	3/23/2004	Salzmann et al.	705	64	12/30/1999
	C.C												
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	C.G												
	C.H												
	C.I												
	C.J												
	C.K												
FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS													
		DOCUMENT NUMBER						PUBLICATION DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION (YES/NO)	
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	C.M												
	C.N												
	C.O												
	C.P												
	C.Q												
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	C.R												
	C.S												
	C.T												
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